

P23662.1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Peter A. NEUWALD et al.

Group Art Unit:

Serial No : 10/628,254

Filed : July 29, 2003

For : J2EE ENTERPRISE INFORMATION SYSTEM (EIS) COMMON  
OBJECT REQUEST BROKER ARCHITECTURE (CORBA)  
CONNECTOR

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. 1.56, 1.97-1.98, Applicants  
hereby call the following documents to the Examiner's attention:

"Java<sup>TM</sup> 2 Platform Enterprise Edition Specification, v1.3" by Bill SHANNON et al.,  
released July 27, 2001;

"Java<sup>TM</sup> Enterprise Edition: J2EE<sup>TM</sup> Connector Architecture Specification v.1.0", by  
Rahul SHARMA et al., released August 22, 2001;

U.S. Patent No. 6,484,310 to PRZYBYLSKI et al., issued November 19, 2002;

U.S. Patent No. 6,539,383 to CHARLET et al., issued March 25, 2003;

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U.S. Published Patent Application No. 2003/0023577 to SUNDIUS et al., published January 30, 2003;

U.S. Published Patent Application No. 2003/0056028 to UNDERWOOD et al., published March 20, 2003;

U.S. Published Patent Application No. 2003/0093402 to UPTON, published May 15, 2003;

U.S. Published Patent Application No. 2003/0105887 to COX et al., published June 5, 2003;

U.S. Published Patent Application No. 2003/0065827 to SKUFCA et al., published April 3, 2003;

U.S. Published Patent Application No. 2002/0032783 to TUATINI, published March 14, 2002;

A printout of a Web site relating to "CORBA BASICS", 5 pages, available to Applicants' representatives no later than September 12, 2003; and

A printout of a Web site relating to "J2EE Connector Architecture", 5 pages, available to Applicants' representatives no later than September 12, 2003.

With respect to the Web sites cited herein, the date on which the site was reviewed and printed is given as the latest date known to Applicants. Applicants make no

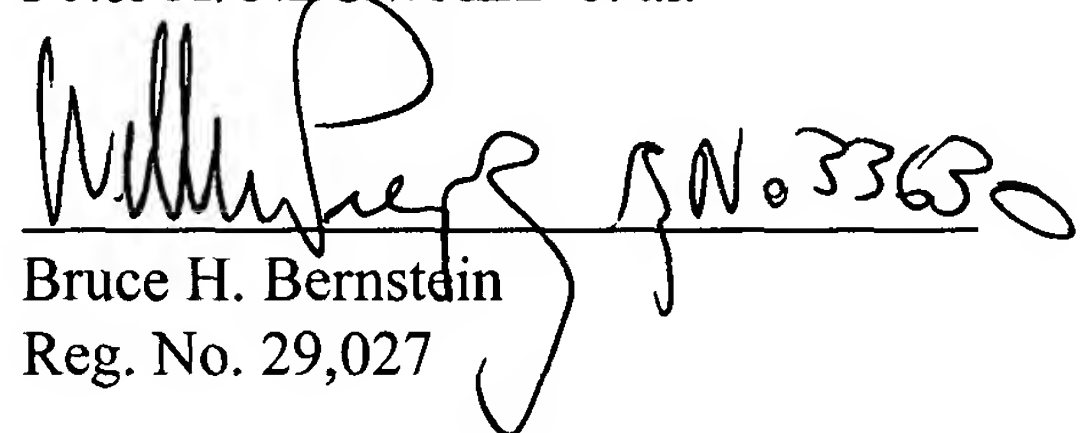
P23662.A01

representation that this "printed on" date is the earliest publication. However, Applicants are not aware of any practical way of determining the publication date on the World Wide Web.


Applicants respectfully request that the Examiner consider and cite the above documents. Copies of the above-noted documents are attached, and have been listed on a PTO-1449 Form which is also attached hereto. Accordingly, the Examiner is requested to initial the appropriate spaces on the attached PTO-1449 Form and to return a copy of the Form to the Applicants with the next official communication in the present application to confirm consideration of the document.

Should the Examiner have any questions, the Examiner is invited to contact the undersigned at the below-listed telephone number.

Respectfully submitted,  
Peter A. NEUWALD et al.

  
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Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office 	INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	Atty. Docket No. P23662	Serial No. 10/628,254
	Applicant Peter A. NEUWALD et al.		
	Filing Date July 29, 2003	Group Not Yet Assigned	

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6	4	8	4	3	1	0	11/19/02	PRZYBYLSKI et al.			
		6	5	3	9	3	8	3	03/25/03	CAHRLET et al.			
	2003	/0	0	2	3	5	7	7	01/30/03	SUNDIUS et al.			
	2003	/0	0	5	6	0	2	8	03/20/03	UNDERWOOD et al.			
	2003	/0	0	9	3	4	0	2	05/15/03	UPTON			
	2003	/0	1	0	5	8	8	7	06/05/03	COX et al.			
	2003	/0	0	6	5	8	2	7	04/03/03	SKUFCA et al.			
	2002	/0	0	3	2	7	8	3	03/14/02	TUATINI			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		1	"Java™ 2 Platform Enterprise Edition Specification, v1.3" by Bill SHANNON et al., released July 27, 2001.
		2	"Java™ Enterprise Edition: J2EE™ Connector Architecture Specification v.1.0", by Rahul SHARMA et al., released August 22, 2001.
		3	A printout of a Web site relating to "CORBA BASICS", 5 pages, available to Applicants' representatives no later than September 12, 2003.
		4	A printout of a Web site relating to "J2EE Connector Architecture", 5 pages, available to Applicants' representatives no later than September 12, 2003.

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.